

SEP 26 2003  
O/P E  
SEP 26 2003  
PAT & TRADE  
S/N NEW FILING

REISSUE PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: WAGNER ET AL. Original Patent No.: 6,234,541 B1  
Original Patent Filing Date: JANUARY 11, 1999 Original Patent Issue Date: MAY 22, 2001  
Assignee of Original Patent: DONALDSON COMPANY, INC.  
Reissue Application Filing Date: FILED HEREWITH Attorney Docket No.: 758.748USRE  
Title: U-BOLT CLAMP FOR SEALING LAP JOINTS

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 23, 2003

By:  
Name:

*Patricia Cygan*  
*Patricia Cygan*

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Donaldson Company, Inc., a corporation having a place of business at Minneapolis, Minnesota, certifies that it is the assignee of the entire right, title and interest in the patent identified above by virtue of an assignment from the inventor of patent application Serial No. 09/228,921 that issued as U.S. Patent No. 6,234,541 B1 on May 22, 2001. The assignment was recorded in the Patent and Trademark Office on April 6, 1999, at Reel 9877, Frame 0673 (copies of the assignment and recordation document are attached). The assignment transferred all interest in the patent application to Donaldson Company, Inc.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

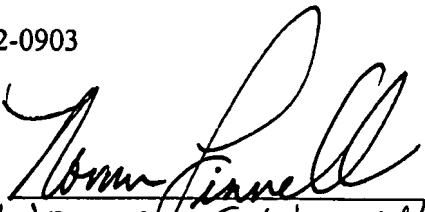
I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are

punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

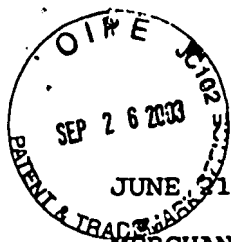
Please direct all correspondence in this application to David G. Schmaltz:

MERCHANT & GOULD P. C  
P. O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: 12 SEP 03

Signed:   
Name: Norman C. Linnell  
Title: Vice President, General Counsel and Secretary





JUNE 21, 1999



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

PTAS  
MERCHANT, GOULD, SMITH, EDELL, ET AL  
DAVID G. SCHMALTZ  
3100 NORWEST CENTER  
90 SOUTH SEVENTH STREET  
MINNEAPOLIS, MN 55402-4131



\*101010225A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/06/1999

REEL/FRAME: 9877/0673  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WAGNER, WAYNE M.

DOC DATE: 02/26/1999

ASSIGNOR:

HERMAN, JOHN T.

DOC DATE: 03/05/1999

ASSIGNOR:

PROTAS, MICHAEL J.

DOC DATE: 03/05/1999

ASSIGNOR:

SHIELDS, JAMES P.

DOC DATE: 03/15/1999

ASSIGNEE:

DONALDSON COMPANY, INC  
1400 WEST 94TH STREET  
MINNEAPOLIS, MINNESOTA 55431

SERIAL NUMBER: 09228921

PATENT NUMBER:

FILING DATE: 01/11/1999

ISSUE DATE:

9877/0673 PAGE 2

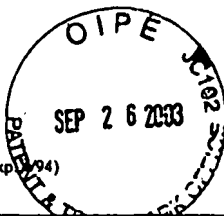
ANTIONE ROYALL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

\*FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 10-94)

M&G-758.748US11



RECORDATION FORM COVER SHEET  
PATENTS ONLY

04-15-1999



ICE  
Office

101010225

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Wayne M. Wagner  
John T. Herman  
Michael J. Protas  
James P. Shields



2. Name and address of receiving party(ies):

DONALDSON COMPANY, INC.  
1400 West 94th Street  
Minneapolis, Minnesota 55431

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: February 26, 1999, March 5, 1999,  
March 15, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/228,921

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David G. Schmaltz  
Address: Merchant, Gould, Smith, Edell,  
Welter & Schmidt  
3100 Norwest Center  
90 South Seventh Street  
Minneapolis, MN 55402-4131

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2725

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David G. Schmaltz

Name of Person Signing

Signature

March 31, 1999

Date

Total number of pages including cover sheet, attachments, and document: 5

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

04/15/1999.DWGUYEN 00000035 09228921

01 FC:581

(40.00 DP)

**ASSIGNMENT**

WHEREAS, we, Wayne M. Wagner, residing at 120 Redwood Drive, Apple Valley, Minnesota 55124, John T. Herman, residing at 6 Pinehurst Drive, Dellwood, Minnesota 55110, Michael J. Protas, residing at 3030 Sumter Ave. N., Golden Valley, Minnesota 55427 and James P. Shields, residing at 1106 Buckcreek Blvd., Fairbank, Iowa 50629, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on January 11, 1999, which is entitled U-BOLT CLAMP FOR SEALING LAP JOINTS;

AND WHEREAS, DONALDSON COMPANY, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 1400 West 94th Street, Minneapolis, Minnesota 55431 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and

the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 26 day of February, 1999.

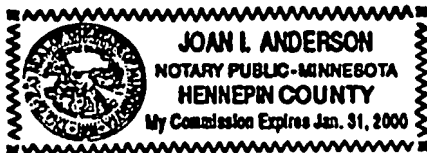
Wayne M. Wagner  
Wayne M. Wagner

STATE OF Minnesota )  
 ) ss.  
COUNTY OF Hennepin )

On this 26<sup>th</sup> day of Feb, 1999, before me personally appeared Wayne M. Wagner to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

Joan C. Anderson  
Notary Public

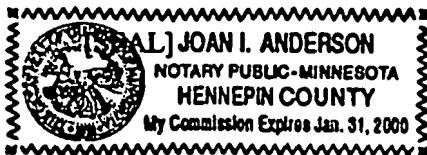


IN TESTIMONY WHEREOF, I have hereunto set my hand this 5th day of March, 1999.

John T. Herman  
John T. Herman

STATE OF Minnesota)  
 ) ss.  
COUNTY OF Hennepin)

On this 5th day of March, 1999, before me personally appeared John T. Herman to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.



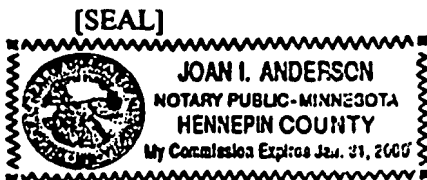
Joan I. Anderson  
Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 5th day of March, 1999.

Michael J. Protas  
Michael J. Protas

STATE OF Minnesota)  
 ) ss.  
COUNTY OF Hennepin)

On this 5th day of March, 1999, before me personally appeared Michael J. Protas to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.



Joan I. Anderson  
Notary Public



IN TESTIMONY WHEREOF, I have hereunto set my hand this 15<sup>th</sup> day of March, 1999.

James P. Shields  
James P. Shields

STATE OF Iowa )  
COUNTY OF Fayette ) ss.

On this 15<sup>th</sup> day of March, 1999 before me personally appeared James P. Shields to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

Comm. exp 4-4-99

Lepie Baumgartner  
Notary Public



NEW FILING

REISSUE PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: WAGNER ET AL. Original Patent No.: 6,234,541 B1  
Original Patent Filing Date: JANUARY 11, 1999 Original Patent Issue Date: MAY 22, 2001  
Assignee of Original Patent: DONALDSON COMPANY, INC.  
Reissue Application Filing Date: FILED HEREWITH Attorney Docket No.: 758.748USRE  
Title: U-BOLT CLAMP FOR SEALING LAP JOINTS

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 23, 2003

By:  
Name:

*Patricia Cygan*  
*Patricia Cygan*

WRITTEN CONSENT OF ASSIGNEE AND OFFER TO SURRENDER  
UNDER 37 C.F.R. § 1.178

Mail Stop Reissue  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Donaldson Company, Inc., the assignee of the entire interest in U.S. Patent No. 6,234,541 B1 (hereinafter referred to as the '541 patent) hereby assents to the present reissue application. The assignee hereby offers to surrender the '541 patent upon issuance of letters patent for the present reissue application. Incorporated herewith is a Certificate Under 37 C.F.R. 3.73(b) establishing the requisite ownership and power-to-act rights in connection herewith. The undersigned is authorized to sign on behalf of the assignee.

Donaldson Company, Inc.

Dated: 12 SEP 03

Signed: *Norman C. Linnell*  
Name: Norman C. Linnell  
Title: Vice President, General Counsel and Secretary